

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Guido Cimino et al.  
PCT International Application No. : PCT/GB00/03489  
U.S. Serial Number : 10/070,561  
PCT International Filing Date : September 11, 2000  
U.S. Filing Date : March 8, 2002  
Title : NEW ACTIVE MARINE ALKALOIDS  
Examiner : [Not Yet Assigned]  
Group Art Unit : [Not Yet Assigned]

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

Pursuant to the terms of 37 CFR §§1.56, 1.97 and 1.98, and in compliance with the guidelines for Information Disclosure Statements set forth in M.P.E.P. §609 and in the Commissioner's Notice of April 20, 1992 (published in the Official Gazette of May 19, 1992, 1138 TMOG 39-43), applicants are submitting herewith, on the attached Form PTO/SB/08A (2 sheets), a listing of five (5) documents, including one (1) U.S. patent document, designated AA, and four (4) non-patent documents, designated AAE-AAH, respectively, all containing information for consideration by the Examiner during the examination of the U.S. National Phase of the above-identified International application, together with a legible copy of each of the documents listed.

Since all of the documents are in the English language, no concise explanation of their relevancy is required, as pointed out in 37 CFR §1.98 and section A(3) of the above-mentioned Commissioner's Notice.

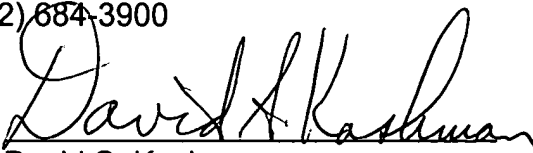
However, regarding documents AA, AAG and AAH, attention is called to the fact that these three documents are references which were cited in the International Search Report (a copy of which is appended hereto for the Examiner's convenience), rendered by the European Patent Office ("EPO") in its capacity as the International Searching Authority, in connection with applicants' International application.

None of the information presented herewith is believed to be adverse to the patentability of the present invention. Nevertheless, it is requested that this information be made of record and considered in the examination of the above-identified patent application.

Respectfully submitted,

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(212) 684-3900

By:

  
David S. Kashman  
(Registration No. 28,725)

Dated: New York, New York  
July 19, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on:

Date of Deposit July 19, 2002

David S. Kashman; Reg. No. 28,725  
(Name of applicant, assign or Registered Representative)

David S. Kashman  
(Signature)

July 19, 2002  
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				Int'l <span style="float: right;"><b>Comple</b> if Known</span>	
<b>Application Number</b>		PCT/GB00/03489			
<b>Filing Date (Int'l)</b>		September 11, 2000			
<b>First Named Inventor</b>		Guido Cimino et al.			
<b>Group Art Unit</b>		N/A			
<b>Examiner Name</b>		N/A			
<b>Attorney Docket Number</b>		0211/219			
<b>Sheet</b>	1	of	2		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	5,023,184		Reichenbach et al.	06/11/1991	
	AB					
	AC					
	AD					
	AE					
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	AG					
	AH					
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	AJ					
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	AT					

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	AU							
	AV							
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	AX							
	AY							
	AZ							
	AAA							
	AAB							
	AAC							
	AAD							

<b>Examiner Signature</b>		<b>Date Considered</b>	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449B/PTO				<b>Int'l</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Compleat if Known</b>	
				<b>Application Number</b>	PCT/GB00/03489
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				<b>First Named Inventor</b>	Guido Cimino et al.
				<b>Group Art Unit</b>	N/A
<b>Examiner Name</b>	N/A				
<b>Attorney Docket Number</b>	0211/219				
Sheet	2	of	2		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AAE	D. JOHN FAULKNER, "Marine Natural Products," National Products Reports (1999), Vol. 16, pp. 155-198	
	AAF	RAYMOND J. BERGERON et al., "Antineoplastic and Antiherpetic Activity of Spermidine Catecholamide Iron Chelators," Biochemical and Biophysical Research Communications (1984), Vol. 121(3) pp. 848-854	
	AAG	ANGELO FONTANA et al., "A New Antitumor Isoquinoline Alkaloid From The Marine Nudibranch Jorunna Funebris," Tetrahedron (2000), Vol. 56, pp. 7305-7308	
	AAH	HAI-YIN HE et al., "Renieramycins E and F From The Sponge Reniera sp.: Reassignment of The Stereochemistry of The Renieramycins," Journal of Organic Chemistry (1989), Vol. 54, pp. 5822-5824	
	AAI		
	AAJ		
	AAK		
	AAL		
	AAM		
	AAN		
	AAO		

Examiner Signature		Date Considered	
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